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FEP Medical Policy Manual

FEP 2.04.125 Proteomic Testing for Targeted Therapy in Non-Small-Cell Lung Cancer

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Related Policies:

2.04.45 - Somatic Biomarker Testing (Including Liquid Biopsy) for Targeted Treatment in Non-Small-Cell Lung Cancer (EGFR, ALK, BRAF, ROS1, RET, MET, KRAS)
2.04.62 - Multimarker Serum Testing Related to Ovarian Cancer

Proteomic Testing for Targeted Therapy in Non-Small-Cell Lung Cancer

Description

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Proteomic testing has been proposed as a way to predict survival outcomes, as well as the response to and selection of targeted therapy for patients with non-small-cell lung cancer (NSCLC). One commercially available test (the VeriStrat assay) has been investigated as a predictive marker for response to epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors.

Diagnosis

The stage at which lung cancer is diagnosed has the greatest impact on prognosis.[2,] Localized disease confined to the primary site has a 59.8 % relative 5-year survival but accounts for only 18 % of lung cancer cases at diagnosis. Mortality increases sharply with advancing stage. Metastatic lung cancer has a relative 5-year survival of 6.3%. Overall, advanced disease, defined as regional involvement and metastatic, accounts for approximately 80% of cases of lung cancer at diagnosis. These statistics are mirrored for the population of NSCLC, with 85% of cases presenting as advanced disease and up to 40% of patients with metastatic disease.

In addition to tumor stage, age, sex, and performance status are independent prognostic factors for survival particularly in early-stage disease. Wheatley-Price et al (2010) reported on a retrospective pooled analysis of 2349 advanced NSCLC patients from 5 randomized chemotherapy trials.[3,] Women had a higher response rate to platinum-based chemotherapy than men. Additionally, women with adenocarcinoma histology had greater overall survival than men. A small survival advantage exists for squamous cell carcinoma over non-bronchiolar nonsquamous histology.[4,]

The oncology clinical care and research community use standard measures of performance status: Eastern Cooperative Oncology Group scale and Karnofsky Performance Scale.

Treatment

Treatment approaches are multimodal and generally include surgery, radiotherapy, and chemotherapy (either alone or in combination with another treatment, depending on disease stage and tumor characteristics). Per the National Comprehensive Cancer Network (NCCN) guidelines, the clinical management pathway for stage I or II NSCLC is dependent on surgical findings and may involve resection, radiotherapy, chemotherapy, or chemoradiation. First-line chemotherapy regimens for neoadjuvant and adjuvant therapy utilize platinum-based agents (eg, cisplatin, carboplatin) in combination with other chemotherapeutics and/or radiotherapy. Treatment recommendations are based on the overall health or performance status of the patient, presence or absence of metastases, as well as the presence or absence of a treatment-sensitizing genetic variant. These aspects inform the selection of targeted and systemic therapies.[1,]

For patients who experience disease progression following initial systemic therapy, subsequent treatment regimens are recommended, mainly featuring novel programmed death-ligand 1 (PD-L1) inhibitors. The NCCN also includes recommendations for targeted therapy or immunotherapy in patients with biomarkers, including sensitizing epidermal growth factor receptor (*EGFR*) mutations. For patients with sensitizing *EGFR* mutations, recommendations include first-line therapy with EGFR tyrosine kinase inhibitors (TKIs) afatinib, erlotinib, dacomitinib, gefitinib, erlotinib plus ramucirumab, erlotinib plus bevacizumab (nonsquamous), or osimertinib and subsequent therapy with osimertinib. The NCCN does not make any recommendations for the use of EGFR TKIs in the absence of a confirmed sensitizing *EGFR* mutation. Initial systemic therapy recommendations can be considered for multiple, symptomatic, systemic lesions.[1,]

Genomic Alterations

Several common genetic alterations in NSCLC have been targets for drug therapy, the most well-established of which are TKIs targeting the EGFR and crizotinib targeting the anaplastic lymphoma kinase (*ALK*) gene rearrangement.

EGFR Variants

EGFR, a tyrosine kinase (TK) receptor, is frequently overexpressed and activated in NSCLC. Drugs that inhibit EGFR-signaling either prevent ligand-binding to the extracellular domain (monoclonal antibodies) or inhibit intracellular TK activity (small molecule TKIs). These targeted therapies dampen signal transduction through pathways downstream to the EGFR, such as the RAS/RAF/MAPK cascade. RAS proteins are G proteins that cycle between active and inactive forms in response to stimulation from cell surface receptors such as EGFR, acting as binary switches between cell surface EGFR and downstream signaling pathways. These pathways are important in cancer cell proliferation, invasion, metastasis, and the stimulation of neovascularization.

Variants in 2 regions of the *EGFR* gene, including small deletions in exon 19 and a point mutation in exon 21 (L858R), appear to predict tumor response to TKIs such as erlotinib. The prevalence of *EGFR* variants in NSCLC varies by population, with the highest prevalence in nonsmoking Asian women with adenocarcinoma; for that subpopulation, *EGFR* variants have been reported to as high as 30% to 50%. The reported prevalence of *EGFR* variants in lung adenocarcinoma patients in the U. S. is approximately 15%.[5,]

ALK Variants

For 2% to 7% of NSCLC patients in the U.S., tumors express a fusion gene comprising portions of the echinoderm microtubule-associated protein-like 4 (*EML4*) gene and the *ALK* gene (*EML4-ALK*), which is created by an inversion on chromosome 2p.[6,] The *EML4* fusion leads to ligand-independent activation of *ALK*, which encodes a receptor TK whose precise cellular function is not completely understood. *EML4-ALK* variants are more common in never smokers or light smokers, tend to be associated with younger age of NSCLC onset, and typically do not occur in conjunction with *EGFR* variants.

Testing for the *EML4-ALK* fusion gene in patients with adenocarcinoma-type NSCLC is used to predict response to the small molecule TKI crizotinib.

Other Genetic Variants

There are other genetic variants identified in subsets of patients with NSCLC. The role of testing for these variants is to help select targeted therapies for NSCLC (see policy 2.04.45-Molecular Analysis (Including Liquid Biopsy) for Targeted Therapy or Immunotherapy of Non-Small-Cell Lung Cancer).

Targeted Treatment Options

EGFR-Selective Small Molecule Tyrosine Kinase Inhibitors

Orally administered EGFR-selective small-molecule TKIs approved by the U.S. Food and Drug Administration (FDA) for treating NSCLC include: gefitinib, erlotinib, afatinib, dacomitinib, mobocertinib, and osimertinib. Although the FDA approved gefitinib in 2004, a phase 3 trial has suggested gefitinib was not associated with a survival benefit. In 2003, the FDA revised gefitinib labeling, further limiting its use to patients who had previously benefited or were currently benefiting from the drug; no new patients were to be given gefitinib. However, in 2015, the FDA approved gefitinib as a first-line treatment for patients with metastatic, sensitizing *EGFR*-variant positive NSCLC.

In 2015, osimertinib (Tagrisso), an irreversible selective EGFR inhibitor that targets T790M variant-positive NSCLC, received the FDA approval for patients with T790M variant-positive NSCLC who have progressed on an EGFR TKI.

A meta-analysis by Lee et al (2013) assessing 23 trials on the use of erlotinib, gefitinib, and afatinib in patients with advanced NSCLC reported improved progression-free survival (PFS) in *EGFR* variant-positive patients treated with EGFR TKIs in the first- and second-line settings and as maintenance therapy.[7,] Comparators were chemotherapy, chemotherapy and placebo, and placebo in the first-line, second-line, and maintenance therapy settings. Among *EGFR* variant-negative patients, PFS was improved with EGFR TKIs compared with placebo for maintenance therapy but not in the first- and second-line settings. OS did not differ between treatment groups in either variant-positive or variant-negative patients. Statistical heterogeneity was not reported for any outcomes. Reviewers concluded that *EGFR*-variant testing is indicated to guide treatment selection in NSCLC patients.

On the basis of the results of 5, phase 3 randomized controlled trials, the American Society of Clinical Oncology recommended in 2011 that patients with NSCLC being considered for first-line therapy with an EGFR TKI (patients who have not previously received chemotherapy or an EGFR TKI) should have their tumor tested for *EGFR* variants to determine whether an EGFR TKI or chemotherapy is the appropriate first-line therapy.[5,]

The primary target population for TKIs in NSCLC is for *EGFR* variant-positive patients with advanced NSCLC. The use of TKIs in NSCLC for patients with non-sensitizing, wild-type EGFR-variant status is controversial. The TITAN trial as reported by Ciuleanu et al (2012) demonstrated no significant differences in OS between erlotinib and chemotherapy as a second-line treatment for patients unselected on the basis of *EGFR*-variant status, with fewer serious adverse events in erlotinib-treated patients.[8,] Karampeazis et al (2013) reported similar efficacy between erlotinib and standard chemotherapy (pemetrexed) for second-line therapy in patients unselected on the basis of *EGFR*-variant status.[9,] By contrast, in the TAILOR trial, as reported by Garassino et al (2013), standard chemotherapy was associated with longer OS than erlotinib for second-line therapy in patients with wild-type *EGFR*.[10,] Auliac et al (2014) compared sequential erlotinib plus docetaxel with docetaxel alone as second-line therapy among patients with advanced NSCLC and *EGFR* wild-type or unknown status.[11,] Based on Simon's optimal 2-stage design, the erlotinib plus docetaxel strategy was rejected. Despite the rejection, it is worth noting that in the erlotinib plus docetaxel arm 18 of the 73 patients achieved PFS at 15 weeks; comparatively, in the docetaxel arm, 17 of 74 patients achieved PFS at 15 weeks.

Cicenas et al (2016) reported on results of the IUNO randomized controlled trial, which compared maintenance therapy using erlotinib followed by second-line chemotherapy if progression occurred with placebo followed by erlotinib if progression occurred in 643 patients who had advanced NSCLC and no known *EGFR* variant.[12,] Because there were no significant differences between groups in PFS, objective response rate, or disease control rate, maintenance therapy with erlotinib in patients without *EGFR* variants was not considered efficacious.

Exon 19 deletions and p.L858R point mutations in exon 21 are the most commonly described sensitizing *EGFR* mutations, or mutations in *EGFR* that are associated with responsiveness to EGFR TKI therapy. According to the NCCN, most recent data indicate that NSCLC tumors that do not harbor a sensitizing *EGFR* mutation should not be treated with an EGFR TKI in any line of therapy.[1,]

Proteomics Testing for Selecting Targeted Treatment for Non-Small Cell Lung Cancer

The term *proteome* refers to the entire complement of proteins produced by an organism, or cellular system and *proteomics* refers to the large-scale comprehensive study of a specific proteome. The proteome may differ from cell to cell and may vary over time and in response to selected stressors.

A cancer cell's proteome is related to its genome and genomic alterations. The proteome may be measured by mass spectrometry (MS) or protein microarray. For cancer, proteomic signatures in the tumor or bodily fluids (ie, pleural fluid or blood) other than the tumor have been investigated as a biomarker for cancer activity.

A commercially available serum-based test (VeriStrat) has been developed and proposed to be used as a prognostic tool to predict expected survival for standard therapies used in the treatment of NSCLC. [13,] The test uses matrix-assisted laser desorption ionization MS analysis, and a classification algorithm was developed on a training set of pretreatment sera from 3 cohorts (Italian A, Japan A, Japan B) totaling 139 patients with advanced NSCLC who were treated with second-line gefitinib.[14,] The classification result is either "good" or "poor". Two validation studies using pretreatment sera from 2 cohorts of patients (Italian B, Eastern Cooperative Oncology Group 3503) totaling 163 patients have been reported (see Tables 2 and 3).

This assay uses an 8-peak proteomic signature; 4 of the 8 have been identified as fragments of serum amyloid A protein 1.[15,] This protein has been found to be elevated in individuals with a variety of conditions associated with acute and chronic inflammation.[16,17,18,19,20,] The specificity for malignant biologic processes and conditions has not been determined.[21,] With industry support, Fidler et al (2018) used convenience biorepository samples to investigate 102 analytes for potential correlations between the specific peptide and protein biomarkers and VeriStrat classification.[22,] The VeriStrat test is currently marketed as a tool to measure a patient's "immune response to lung cancer." Biodesix indicates that a VeriStrat "Good" result indicates "a disease state that is more likely to respond to standard of care treatment," whereas a VeriStrat "Poor" rating indicates a chronic inflammatory disease state associated with aggressive cancer and patients that "may benefit from an alternative treatment strategy." [13,]

Although the VeriStrat matrix-assisted laser desorption ionization MS-based predictive algorithm has the largest body of literature associated with it, other investigators have used alternative MS methods, such as surface-enhanced laser desorption ionization/time-of-flight MS, and alternative predictive algorithms, to assess proteomic predictors of lung cancer risk.[23,]

Best practices for peptide measurement and guidelines for publication of peptide and protein identification have been published for the research community.[24,]

OBJECTIVE

The objective of this evidence review is to determine whether the use of proteomic testing to select therapy improves the net health outcome in patients with non-small-cell lung cancer.

POLICY STATEMENT

The use of proteomic testing, including but not limited to the VeriStrat assay, is considered **investigational** for all uses in the management of non-small-cell lung cancer.

POLICY GUIDELINES

None

BENEFIT APPLICATION

Experimental or investigational procedures, treatments, drugs, or devices are not covered (See General Exclusion Section of brochure).

Screening (other than the preventive services listed in the brochure) is not covered. Please see Section 6 General exclusions.

Benefits are available for specialized diagnostic genetic testing when it is medically necessary to diagnose and/or manage a patient"s existing medical condition. Benefits are not provided for genetic panels when some or all of the tests included in the panel are not covered, are experimental or investigational, or are not medically necessary.

FDA REGULATORY STATUS

Clinical laboratories may develop and validate tests in-house and market them as a laboratory service; laboratory-developed tests must meet the general regulatory standards of the Clinical Laboratory Improvement Amendments. The commercially available proteomic test (VeriStrat; Biodesix) is available under the Clinical Laboratory Improvement Amendments. Laboratories that offer laboratory-developed tests must be licensed by the Clinical Laboratory Improvement Amendments for high-complexity testing. To date, the U.S. Food and Drug Administration has chosen not to require any regulatory review of these tests.

RATIONALE

Summary of Evidence

For individuals with newly diagnosed non-small-cell lung cancer (NSCLC) and wild-type epidermal growth factor receptor (*EGFR)*-variant status who receive management with a serum proteomic test to predict survival and select treatment, the evidence includes retrospective studies and a prospective nonrandomized study. Relevant outcomes are overall survival (OS), disease-specific survival, and treatment-related mortality and morbidity. No published studies were identified that assessed the prognostic use of VeriStrat proteomic testing in newly diagnosed stage I or II NSCLC.

For individuals with newly diagnosed advanced NSCLC and *EGFR*-negative variant status without prior systemic therapy, 5 studies have assessed the use of VeriStrat ("good” or "poor”) as a prognostic test to discriminate between OS (primary) and progression-free survival (PFS) (secondary) outcomes. All studies were retrospective and intended to validate the extent to which the VeriStrat proteomic classification correlated with OS or PFS. Only 1 of the 5 studies reported the percentage of participants who were *EGFR*-negative, but it did not report outcomes based on variant status. One observational, nonrandomized study with prospective sample collection for proteomic testing before NSCLC treatment reported the percentage of participants who were *EGFR*-negative, but it did not report outcomes based on variant status. This was also the only study that included a first-line treatment consistent with current guideline-based recommendations platinum-doublet-based chemotherapy plus cisplatin or carboplatin plus pemetrexed. The VeriStrat classification was not used to direct the selection of treatment in any of the clinical trials from which the validation samples were derived. Disposition of populations with variant status "not reported” was generally not clear and could not be construed as "unknown” when wild-type or positive were reported. No studies were identified that used VeriStrat proteomic testing to inform therapeutic options for patients with stage I or II NSCLC if surgery or surgery plus radiotherapy have been completed or who were upstaged as a result of surgical findings. No studies were identified that used VeriStrat proteomic testing to inform therapeutic options for patients with stage I or II NSCLC who were considered medically inoperable. No studies were identified that used VeriStrat proteomic testing to predict response to first-line targeted therapies or first-line chemotherapy in patients with newly diagnosed advanced NSCLC. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with newly diagnosed NSCLC and unknown *EGFR*-variant status who receive management with a serum proteomic test to predict survival and select treatment, the evidence includes a randomized controlled trial (RCT), 4 retrospective studies, and a prospective study. Relevant outcomes are OS, disease-specific survival, and treatment-related mortality and morbidity. All study populations were either unselected for *EGFR*-variant status or status was expressly reported as unknown in conjunction with negative or positive status reports. None of the studies that reported unknown *EGFR*-variant status reported outcomes for the proteomic score based on unknown *EGFR*-variant status. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with NSCLC and wild-type *EGFR*-variant status and disease progression after first-line systemic therapy who receive management with a serum proteomic test to predict survival and select treatment, the evidence includes a RCT and a retrospective analysis. Relevant outcomes are OS, disease-specific survival, and treatment-related mortality and morbidity. No studies were identified that reported or analyzed outcomes using the proteomic test as a prognostic tool in *EGFR*-negative variant status populations. The evidence includes an RCT (PROSE) using proteomic testing to predict response to erlotinib compared with chemotherapy as a second-line treatment for patients with stage IIIB or IV NSCLC, stratified by performance status, smoking history, treatment center, and (masked) pretreatment VeriStrat classification. In a multivariate model to predict OS, which included clinical characteristics and *EGFR*-variant status, VeriStrat classification was significantly associated with OS (hazard ratio for VeriStrat "good" vs "poor," 1.88; 95% confidence interval, 1.25 to 2.84; p=.003). However, 62% of the combined study population was *EGFR*-negative. A retrospective analysis was also performed on the MARQUEE trial, a phase 3 RCT in patients with stage IIIB or IV nonsquamous NSCLC, comparing the patient response to erlotinib in conjunction with either tivantinib or a placebo; patients were stratified by *EGFR* and *KRAS* variant status, sex, smoking history, and treatment history. Protocol treatments were subsequently discontinued by 93% of patients, and the trial discontinued after prespecified interim futility analysis. In a multivariate model to predict OS, which included clinical characteristics and *EGFR*-variant status, VeriStrat classification was significantly associated with OS (hazard ratio for VeriStrat "good" vs "poor," 0.52; 95% confidence interval, 0.40 to 0.67; p<.001). Ninety percent of the combined study population was *EGFR*-negative. An interaction between treatment and VeriStrat status was significant for multivariate analysis including *EGFR* status (p=.036) but not significant for multivariate analysis including both *EGFR* and *KRAS* variant status (p=.068). Currently, the use of erlotinib in patients unselected for the presence or absence of an *EGFR*-sensitizing variant is not standard clinical practice. It is recommended that variant status be determined, if not previously ascertained, before selecting treatment after progression or recurrence. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with NSCLC and unknown *EGFR*-variant status with disease progression after first-line systemic therapy who receive management with a serum proteomic test to predict survival and select treatment, the evidence includes 2 RCTs and 3 retrospective studies. Relevant outcomes are OS, disease-specific survival, and treatment-related mortality and morbidity. The use of VeriStrat as a prognostic test to discriminate between good and poor survival outcomes was assessed in 3 retrospective studies intended to validate the extent to which VeriStrat proteomic classification correlates with OS or PFS. The VeriStrat classification was not used to direct treatment selection in any of the trials from which the validation samples were derived. None of the clinical trials from which the samples for VeriStrat proteomic classification were derived used a therapy consistent with current guidelines-based recommendations. The populations in all 3 studies were unselected for *EGFR*-variant status. In the PROSE RCT, using a multivariate model to predict OS, which included clinical characteristics and *EGFR*-variant status, VeriStrat classification was significantly associated with OS (hazard ratio for VeriStrat "good" vs "poor," 1.88; 95% confidence interval, 1.25 to 2.84; p=.003). However, 32.6% of the combined study population had unknown *EGFR* status. In the EMPHASIS RCT, there were no significant differences in PFS or OS among patients with VeriStrat "good" status receiving erlotinib or chemotherapy or among patients with VeriStrat "poor" status receiving erlotinib or chemotherapy. The results of the EMPHASIS RCT were restricted to squamous NSCLC histology. Currently, the use of erlotinib in patients unselected for the presence or absence of an *EGFR*-sensitizing variant is not standard clinical practice. It is recommended that variant status be determined, if not previously ascertained, before selecting treatment after progression or recurrence. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

SUPPLEMENTAL INFORMATION

Practice Guidelines and Position Statements

Guidelines or position statements will be considered for inclusion in 'Supplemental Information' if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

National Comprehensive Cancer Network

The National Comprehensive Cancer Network (v3.2023) guidelines on the management of non-small cell lung cancer (NSCLC) recommend routine testing for *EGFR* variants in patients with advanced or metastatic nonsquamous NSCLC (category 1 recommendation) and consideration for *EGFR*-variant testing in patients with metastatic squamous NSCLC who were never smokers or with small biopsy specimens or mixed histology (category 2A recommendation).[1,]The guideline also recommends molecular testing for EGFR mutation on diagnostic biopsy or surgical resection sample to ensure the EGFR mutation results are available for adjuvant treatment decisions for patients with stage IIB-IIIA or high-risk stage IB-IIA NSCLC. Recommendations for first-line treatment for *EGFR*-positive patients with advanced or metastatic NSCLC, and *EGFR*-negative or -unknown patients as well as for patients in either category who have progressed on therapy are provided. See the Background section for additional information.

American Society of Clinical Oncology

In 2023, the American Society of Clinical Oncology updated its 'living' clinical practice guidelines.Recommendations for patients with stage IV NSCLC. are provided as separate guidelines for patients with and without driver mutations. The guideline on treatment of NSCLC with driver mutations discusses treatments for patients with positive biomarkers (eg, *EGFR, ALK, ROS1* fusions*, BRAF V600e* mutations*, RET* fusions*, MET* exon 14 skipping mutations, and *NTRK* fusions).[48,] The guideline on treatment of NSCLC without driver mutations discusses therapy for patients with stage IV NSCLC without driver alterations in *EGFR* or *ALK* and with programmed death ligand 1 (PD-L1) tumor proportion score status that is known to the clinician.[49,]

The Society (2018) endorsed practice guidelines from other medical associations (College of American Pathologists, International Association for the Study of Lung Cancer, Association for Molecular Pathology) addressing molecular testing for the selection of patients with lung cancer for treatment with targeted tyrosine kinase inhibitors.[50,]

U.S. Preventive Services Task Force Recommendations

Not applicable.

Medicare National Coverage

There is no national coverage determination. In the absence of a national coverage determination, coverage decisions are left to the discretion of local Medicare carriers.

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POLICY HISTORY - THIS POLICY WAS APPROVED BY THE FEP® PHARMACY AND MEDICAL POLICY COMMITTEE ACCORDING TO THE HISTORY BELOW:

Date	Action	Description
December 2014	New policy	Policy created with literature review. Proteomic testing considered investigational for all indications in the management of non-small cell lung cancer.
March 2016	Replace policy	Policy updated with literature review through September 1, 2016. References 6-9, 10, 23, and 29-30 added.
March 2018	Replace policy	Policy updated with literature review through September 11, 2017; reference 10, 23, 26, 29, and 31 added. Policy statement unchanged.
June 2018	Replace policy	Policy updated with literature review through March 31, 2018; references 2-4, 14, 17-23, 25, 33-34, and 44-45 added. Policy statement unchanged. Policy title changed.
March 2019	Replace policy	Policy updated with literature review through August 4, 2018; references 33 and 43-44 added. Policy statement unchanged.
March 2020	Replace policy	Policy updated with literature review through August 28, 2019; references added. Text for treatment pathways added; clinical management pathway figures removed. Policy statement unchanged.
March 2021	Replace policy	Policy updated with literature review through August 20, 2020; no references added. Policy statement unchanged.
March 2022	Replace policy	Policy updated with literature review through November 4, 2021; references added. Policy statement unchanged.
March 2023	Replace policy	Policy updated with literature review through August 15, 2022; no references added. Policy statement unchanged.
March 2024	Replace policy	Policy updated with literature review through September 13, 2023; no references added. Policy statement unchanged.